



H.A. Sector #3 ✓

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): John Nguyen, et al.  
Serial No.: 09/686,004  
Filed: October 10, 2000  
For: MINIMALLY INVASIVE  
VALVE REPAIR  
PROCEDURE AND  
APPARATUS  
Group Art Unit: 3731  
Examiner: Not yet assigned  
Attorney Docket: 800195-44 (6835-57391)

**CERTIFICATE OF  
MAILING/TRANSMISSION  
(37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to BOX MISSING PARTS, Assistant Director for Patents, Washington, D.C. 20231.

April 17, 2001

  
Julie Cox

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

**BOX MISSING PARTS**

Assistant Director for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Non-provisional Application Filing Date Granted, mailed December 18, 2000 and relating to completion of the above-identified application, transmitted herewith are:

1. A copy of the Notice to File Missing Parts of Non-provisional Application Filing Date Granted, mailed December 18, 2000;
2. The Declaration for Patent Application signed by the inventors pursuant to 37 C.F.R. § 1.63, and attached thereto a copy of the specification as filed on October 10, 2000 and referred to in the signed Declaration for Patent Application; and

3. A check in the amount of \$478.00 to cover the filing fee and surcharge in accordance with 37 C.F.R. § 1.16(e) for timely filing of missing parts of the application for a small entity.

It is hereby asserted that small entity status is entitled to be asserted for the application .

Except for the issue fee, which will be separately authorized, the Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 13-0201. This transmittal is submitted in duplicate.

Respectfully submitted,



Steven R. Vosen  
Registration No. 45,186

April 17, 2001  
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San Francisco, CA 94111  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/686,004	10/10/2000	John Nguyen	3311.019US0

CONFIRMATION NO. 4669

## FORMALITIES LETTER



\*OC000000005626330\*

MAJESTIC, PARSONS, SIEBERT & HSUE P.C.  
Four Embarcadero Center, Suite 1100  
San Francisco, CA 94111-4106

Date Mailed: 12/18/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$116.
  - \$36 for 2 total claims over 20.
  - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 956.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/20/2001 HVUN61 00000099 09686004  
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03 FC:205  
04 FC:202  
05 FC:203  
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